

PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 770.00

Complete if Known

Application Number 09/932,250
 Filing Date 08/17/2001
 First Named Inventor Timothy T. Wenzel
 Examiner Name Pasterczyk, James W.
 Art Unit 1755
 Attorney Docket No. 1999U021D2.US

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 50-0589
 Deposit Account Name Univation Technologies

The Commissioner is authorized to: (check all that apply)

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☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$)			0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
	-20** = 0	X 18 = 0	
Independent Claims	-3** = 0	X 84 = 0	
Multiple Dependent		280 = 0	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

0.00

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	2053 65	Non-English specification	
1812 2,520	2812 2,520	For filing a request for ex parte reexamination	
1804 920*	2804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	2805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	2451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	2460 130	Petitions to the Commissioner	
1807 50	2807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	2806 180	Submission of Information Disclosure Stmt	
8021 40	28021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	770.00
1802 900	2802 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

770.00

SUBMITTED BY

Name (Print/Type) Kevin M. Faulkner Registration No. 45,427 Telephone 713-892-3729
 Signature *Kevin M. Faulkner* (Attorney/Agent) Date 4.22.04

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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Application Number	09/932,250
Filing Date	08/17/2001
First Named Inventor	Timothy T. Wenzel
Examiner Name	Pasterczyk, James W.
Art Unit	1755
Attorney Docket No.	1999U021D2.US

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit
Account
Number
Deposit
Account
Name

50-0589

Univation Technologies

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Total Claims - 20** = 0 x 18 = 0

Independent Claims - 3** = 0 x 84 = 0

Multiple Dependent = 280 = 0

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FEE CALCULATION**3. ADDITIONAL FEES**

Large Entity Small Entity

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1801 750	2801 375	Request for Continued Examination (RCE)	770.00
1802 900	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) **770.00****SUBMITTED BY**

Name (Print/Type)	Kevin M. Faulkner	Registration No. (Attorney/Agent)	45,427	Telephone	713-892-3729
Signature	<i>Kevin M. Faulkner</i>	Date	4.22.04		

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Univation Technologies, LLC 5555 San Felipe, 19th Floor, Houston, Texas 77056 United States of America

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Date: **Thursday, April 22, 2004**

Number of pages including cover sheet: **11**

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Alexandria, VA 22313-1450

Attn: **Group 1713/Examiner Pasterczyk**

Telephone: (571) 272-1375

Facsimile (703) 872-9306

FROM: KEVIN M. FAULKNER

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REMARKS: ☐ Urgent ☒ For your review ☐ Reply ASAP ☐ Please comment

Re: U.S.S.N.: **09/932,250**
Filing Date: 08/17/2001
Attorney Docket No.: 1999U021D2.US

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence consisting of a RCE Transmittal, Fee Transmittal in duplicate and a 7 page response to a March 31, 2004 Advisory Action, are being facsimile transmitted to the United States Patent and Trademark Office, Mail Stop RCE, P.O. Box 1450, Alexandria, VA 22313-1450, to facsimile number (703) 872-9306, Attn: Examiner James W. Pasterczyk, on Monday, April 12, 2004.

Date

April 22/04

Kevin M. Faulkner
Kevin M. Faulkner
Attorney for Applicants
Registration No. 45,427

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